

CKM Matrix from Lattice QCD and the z Expansion

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GENIE z -expansion Tutorial
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Outline

- Basics of Lattice QCD
- z Expansions Used in B Physics
- z Fits: Lattice QCD, Experiment, Combined
- Compare and Contrast ν and B Physics

LATTICE GAUGE THEORY FOR BEGINNERS



The QCD Lagrangian

- SU(3) gauge symmetry and $1 + n_f + 1$ parameters:

$$\begin{aligned}\mathcal{L}_{\text{QCD}} = & \frac{1}{g_0^2} \text{tr}[F_{\mu\nu} F^{\mu\nu}] && m_\Omega, \text{Y}(2S-1S), \text{or } r_1, w_0, \dots \\ & - \sum_f \bar{\Psi}_f (\not{D} + m_f) \Psi_f && m_\pi, m_K, m_{J/\psi}, m_Y, \dots \\ & + \frac{i\theta}{32\pi^2} \epsilon^{\mu\nu\rho\sigma} \text{tr}[F_{\mu\nu} F_{\rho\sigma}] && \theta = 0.\end{aligned}$$

- Observable CP violation $\propto \theta = \vartheta - \arg \det y_f$ (if all Yukawas nonvanishing):
 - neutron electric-dipole moment sets limit $\theta \lesssim 10^{-11}$;
 - **bafflingly implausible** cancellation called the strong CP problem.

Lattice Gauge Theory

K. Wilson, PRD 10 (1974) 2445

- Invented to understand asymptotic freedom without the need for gauge-fixing and ghosts [Wilson, [hep-lat/0412043](#)].

- Gauge symmetry on a spacetime lattice:

- mathematically rigorous definition of QCD functional integrals;

$$\langle \bullet \rangle = \frac{1}{Z} \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} \exp(-S) [\bullet]$$

- enables theoretical tools of statistical mechanics in quantum field theory and provides a basis for constructive field theory.
- Discrete space (a la Heisenberg & Pauli) and discrete time (a la Feynman).

Numerical Lattice QCD

- Nowadays “lattice QCD” usually implies a numerical technique, in which the functional integral is integrated numerically on a computer.
- Big computers:
- Some compromises:
 - finite human lifetime \Rightarrow Wick rotate to Euclidean time: $x_4 = ix_0$;
 - finite memory \Rightarrow finite space volume & finite time extent;
 - finite CPU power \Rightarrow light quarks until recently heavier than up and down.



Lattice Gauge Theory

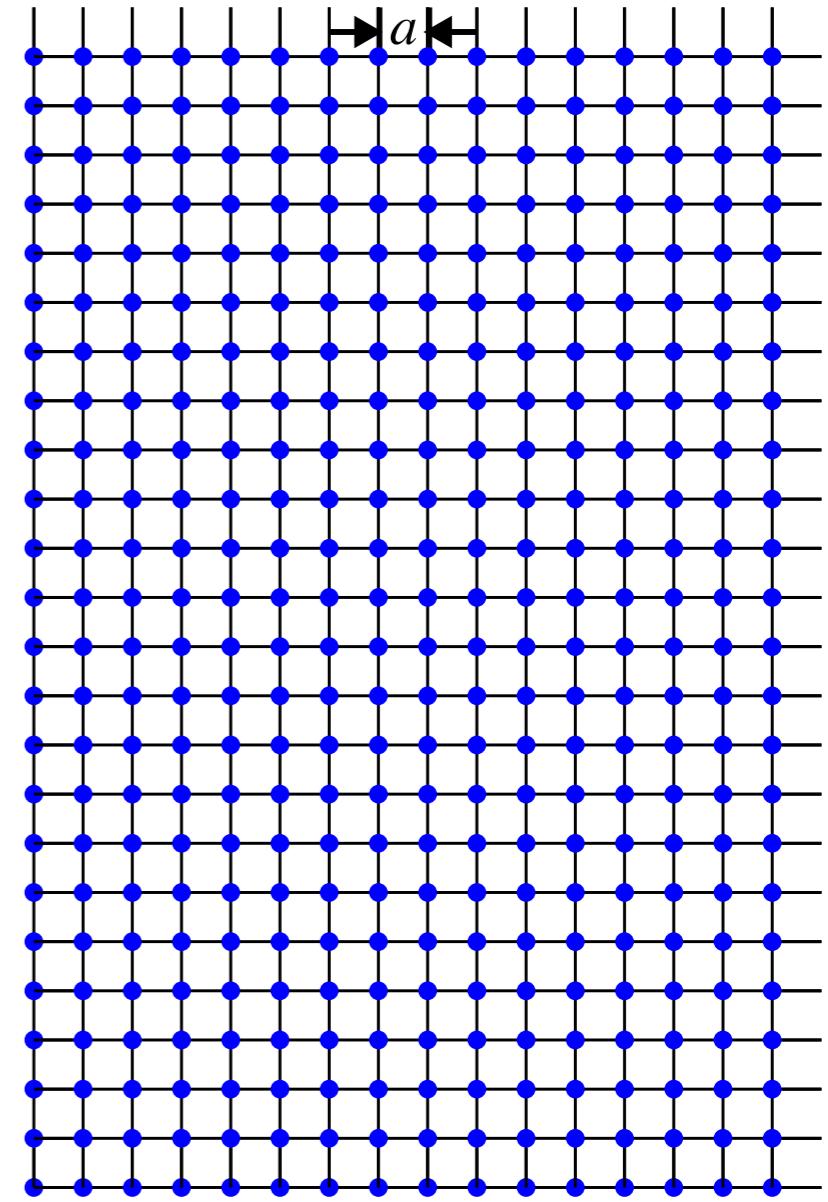
$$\langle \bullet \rangle = \frac{1}{Z} \int \boxed{\mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi}} \exp(-S) [\bullet]$$

MC hand

$$= \frac{1}{Z} \int \mathcal{D}U \operatorname{Det}(\not{D} + m) \exp(-S_{\text{gauge}}) [\bullet']$$

- Infinite continuum: uncountably many d.o.f. (\Rightarrow UV divergences);
- Infinite lattice: countably many; used to define QFT;
- Finite lattice: finite dimension $\sim 10^8$, so compute integrals numerically.

$$L_4 = N_4 a$$



$$L = N_S a$$

Correlators Yield Masses & Matrix Elements

- Two-point functions for masses, $\pi(t) = \bar{\psi}_u \gamma_5 S \psi_d(t)$, $N(t) = {}^c \bar{\psi}_u \gamma_5 \psi_d(t)$:

$$\langle \pi(t) \pi^\dagger(0) \rangle = \sum_n |\langle 0 | \hat{\pi} | \pi_n \rangle|^2 \exp(-m_{\pi_n} t)$$

- Two-point functions for decay constants:

$$\langle J(t) \pi^\dagger(0) \rangle = \sum_n \langle 0 | \hat{J} | \pi_n \rangle \langle \pi_n | \hat{\pi}^\dagger | 0 \rangle \exp(-m_{\pi_n} t)$$

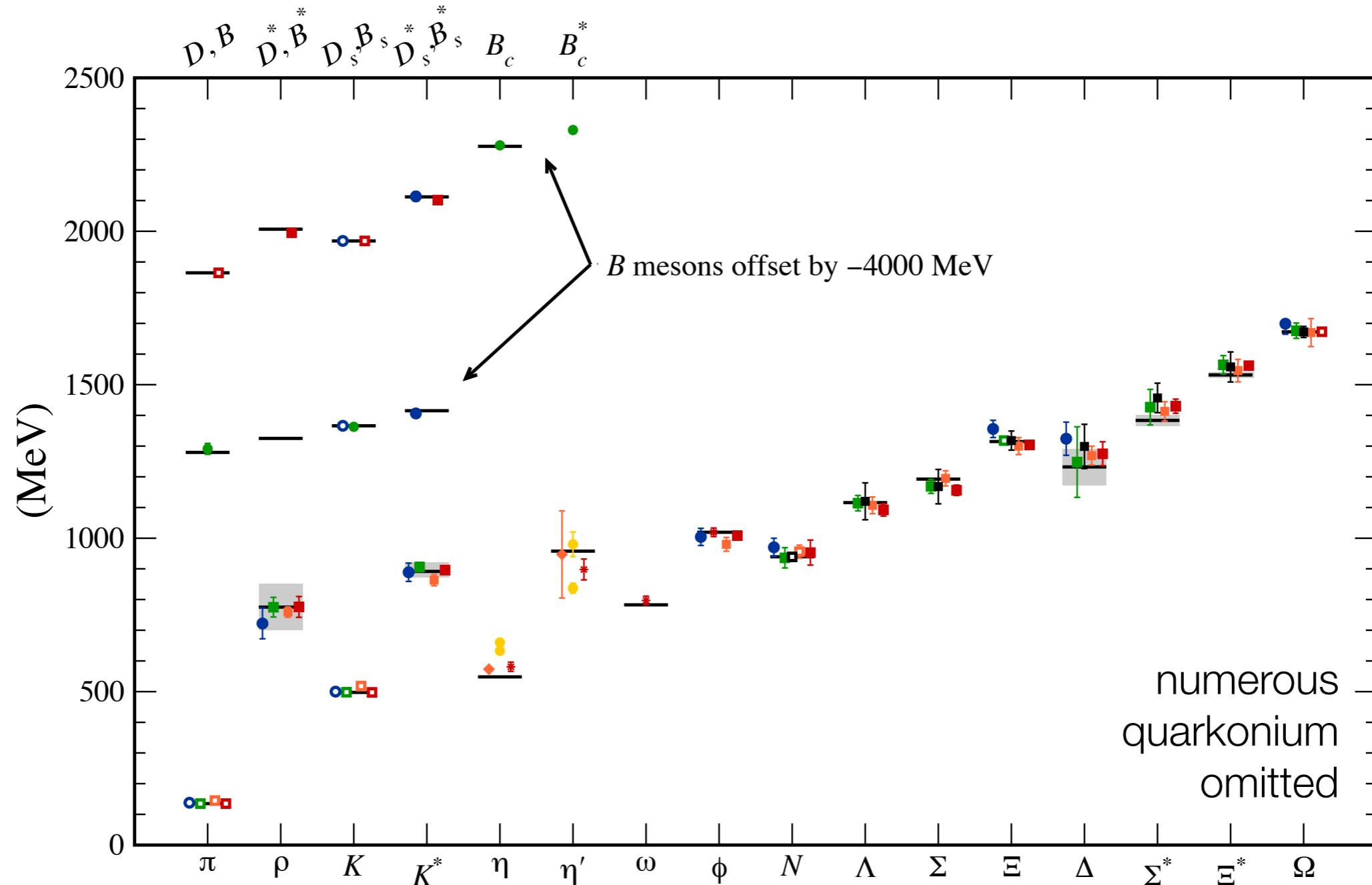
- Three-point functions for form factors, mixing:

$$\begin{aligned} \langle \pi(t) J(u) B^\dagger(0) \rangle &= \sum_{mn} \langle 0 | \hat{\pi} | \pi_m \rangle \langle \pi_n | \hat{J} | B_m \rangle \langle B_m | \hat{B}^\dagger | 0 \rangle \\ &\quad \times \exp[-m_{\pi_n}(t-u) - m_{B_m} u] \end{aligned}$$

- LHS needs supercomputers; RHS needs students, postdocs, junior faculty.

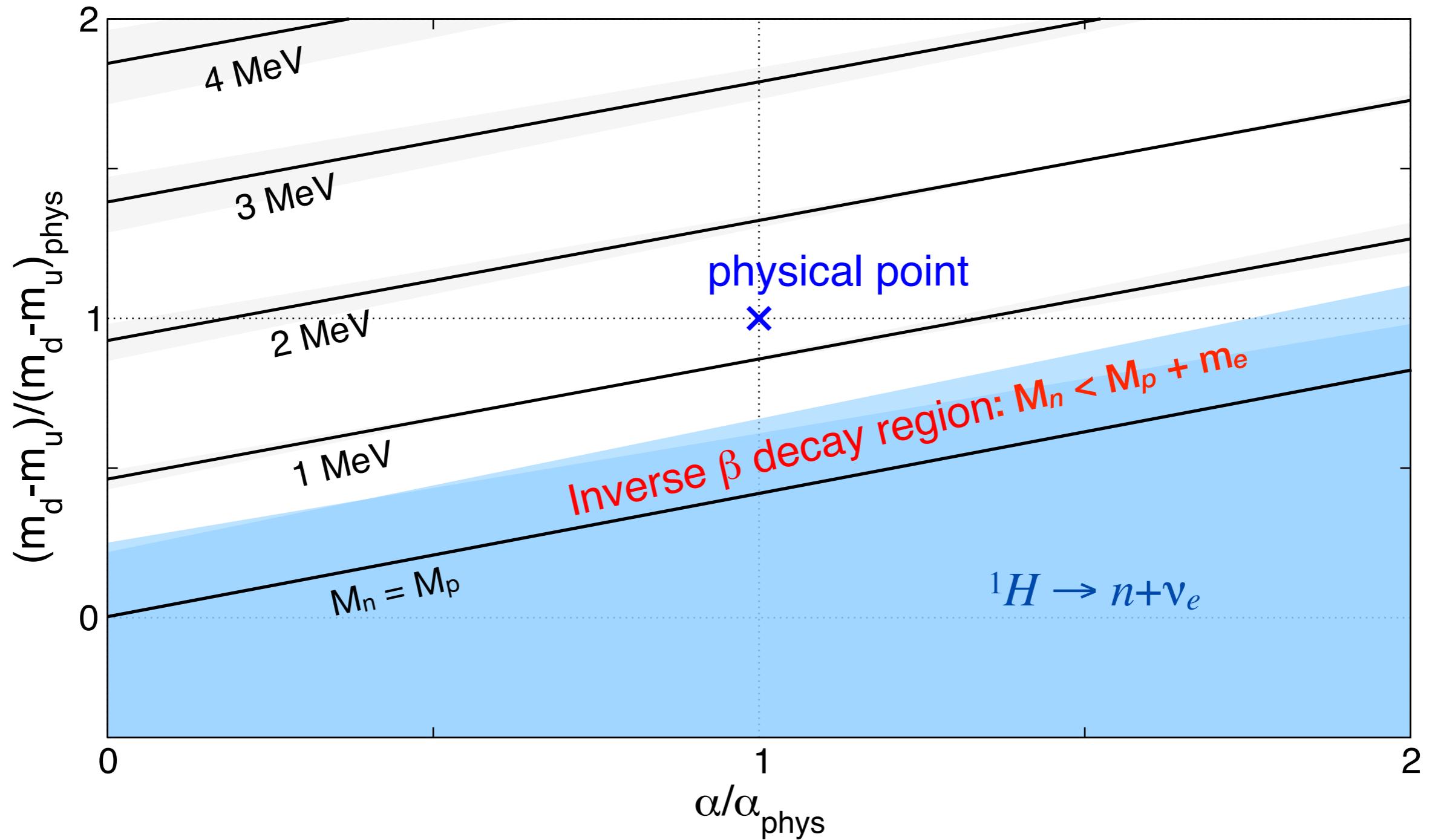
QCD Hadron Spectrum

$\pi \dots \Omega$: BMW, MILC, PACS-CS, QCDSF; ETM (2+1+1);
 $\eta - \eta'$: RBC, UKQCD, Hadron Spectrum (ω);
 D, B : Fermilab, HPQCD, Mohler&Woloshyn



Neutron-Proton Mass Difference

BMW Collab., arXiv:1406.4088 (see also Horsley et al. arXiv:1508.06401)



Form-Factor Calculations I

- Matrix elements decomposed into Lorentz-covariant forms, multiplied by “form factors”.
- Compute them from three-point functions, with several
 - daughter momenta;
 - lattice spacing;
 - quark masses, physical volumes, etc.
- Fit lattice data to EFT formulas to get continuum limit.

Form-Factor Calculations II

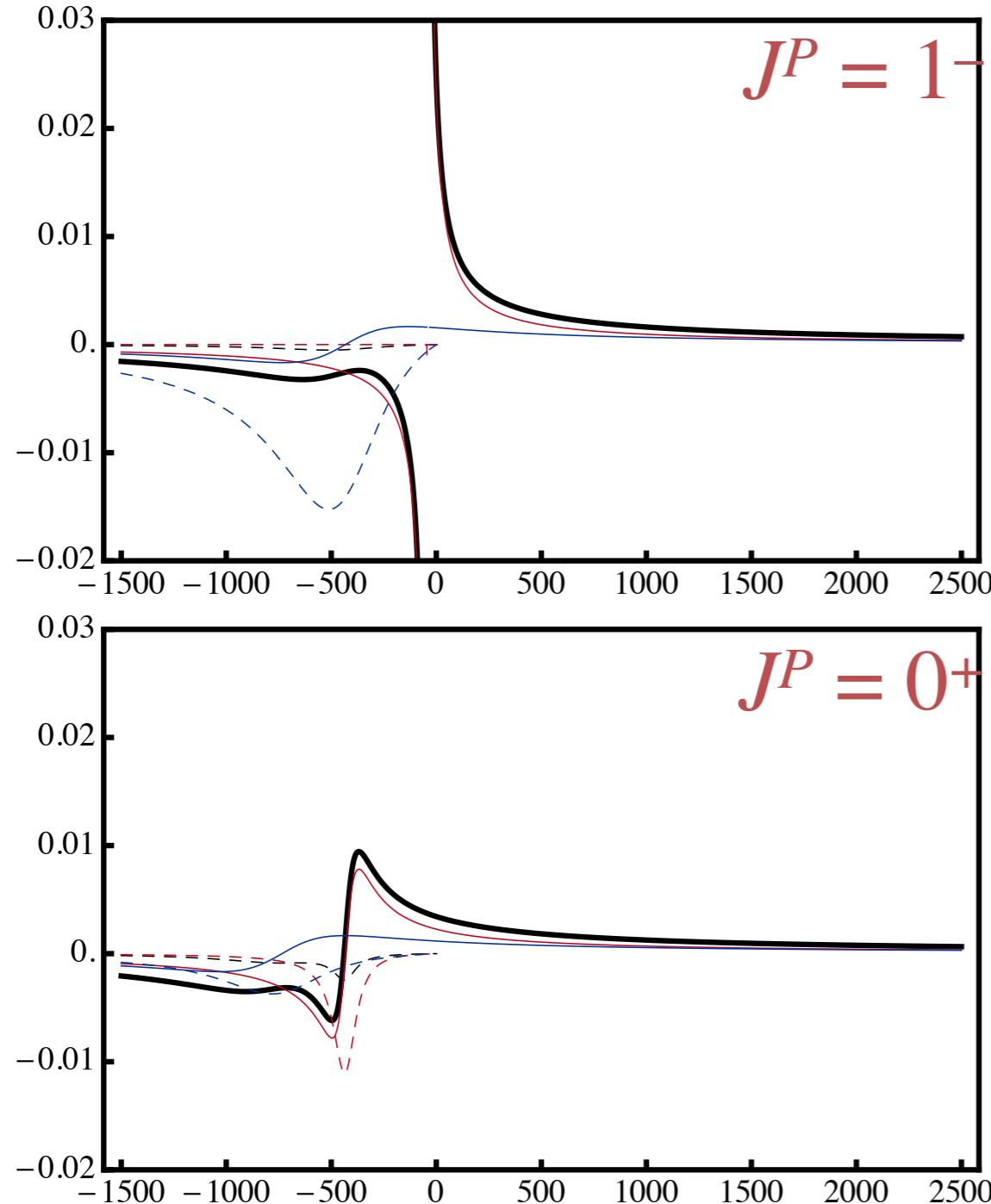
- Output of these fits in continuum and at physical quark masses is a set of fit parameters, errors, and their correlations for the EFT formula.
- Fit this information to the z expansion:
 - “synthetic data”: evaluate fit function at # of points less than # of fit parameters;
 - functional z expansion ([here](#)): finds best fit of second polynomial, $a_k z^k$, to an initial one (EFT expression).

z Expansions Used in B Physics

Three Channels

- scattering $\nu n \rightarrow pl, \nu B \rightarrow \pi l, \dots : q^2 < 0.$
- decay $n \rightarrow pl\nu, B \rightarrow \pi l\nu, \dots : 0 = q^2 \leq (M_{\text{parent}} - M_{\text{daughter}})^2.$
- s -channel, $l\nu$ annihilation into $pn, B\pi$, etc.: $s = q^2 \geq t_{\text{cut}}$,
but in general also subthreshold **poles**:
 - flavor-tag in $B\pi$ implies $t_{\text{cut}} = (M_B + M_\pi)^2$, but note also pole at $s = M_{B^*}^2$ when $J = 1$;
 - radius of convergence of q^2 expansion is t_{pole} or t_{cut} .

Polology



- Physics looks exciting for annihilation kinematics,
- but dull for decay and scattering:
- all connected by analyticity.

$$E_\pi = (M_B^2 + M_\pi^2 - q^2)/2M_B$$

General z Expansion

- In general, write

$$f(t) = \frac{1}{P(t)\phi(t)} \sum_{k=0}^{\infty} a_k z(t, t_0)^k$$

poles expressed as
“inner function” aka
“Blaschke factor”

unitarity constraints
managed with
“outer function”

- Unitarity constrains $|f(t)|^2$:

BCL:

BGL:

$$1 \geq \sum_{k=0}^{\infty} |a_k|^2$$

$$\phi = \text{mess}$$

$$1 \geq \sum_{k=0}^{\infty} B_{jk} a_j^* a_k, \quad B_{jk} = \text{mess}$$

$$\phi = 1$$

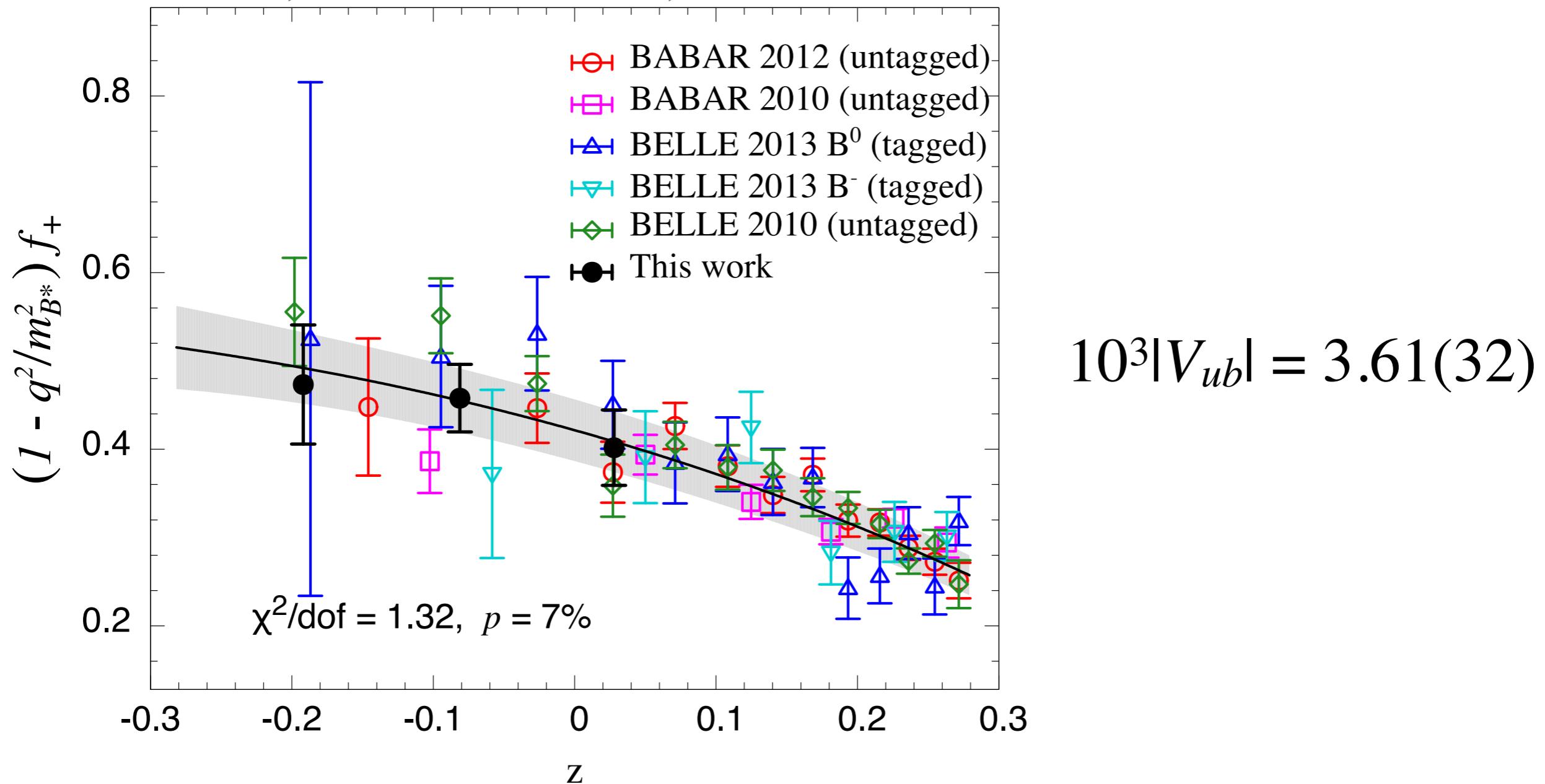
References

- Meiman, Sov. Phys. JETP 17 (1963) 830.
- Okubo and Shih, PRD 4 (1971) 2020.
- Singh and Raina, Fort. Phys. 27 (1979) 561.
- Boyd, Grinstein, and Lebed (BGL), hep-ph/9412324.
- Boyd and Savage, hep-ph/9702300.
- Bourrely, Caprini, and Lellouch (BCL), arXiv:0807.2722.

z Fits

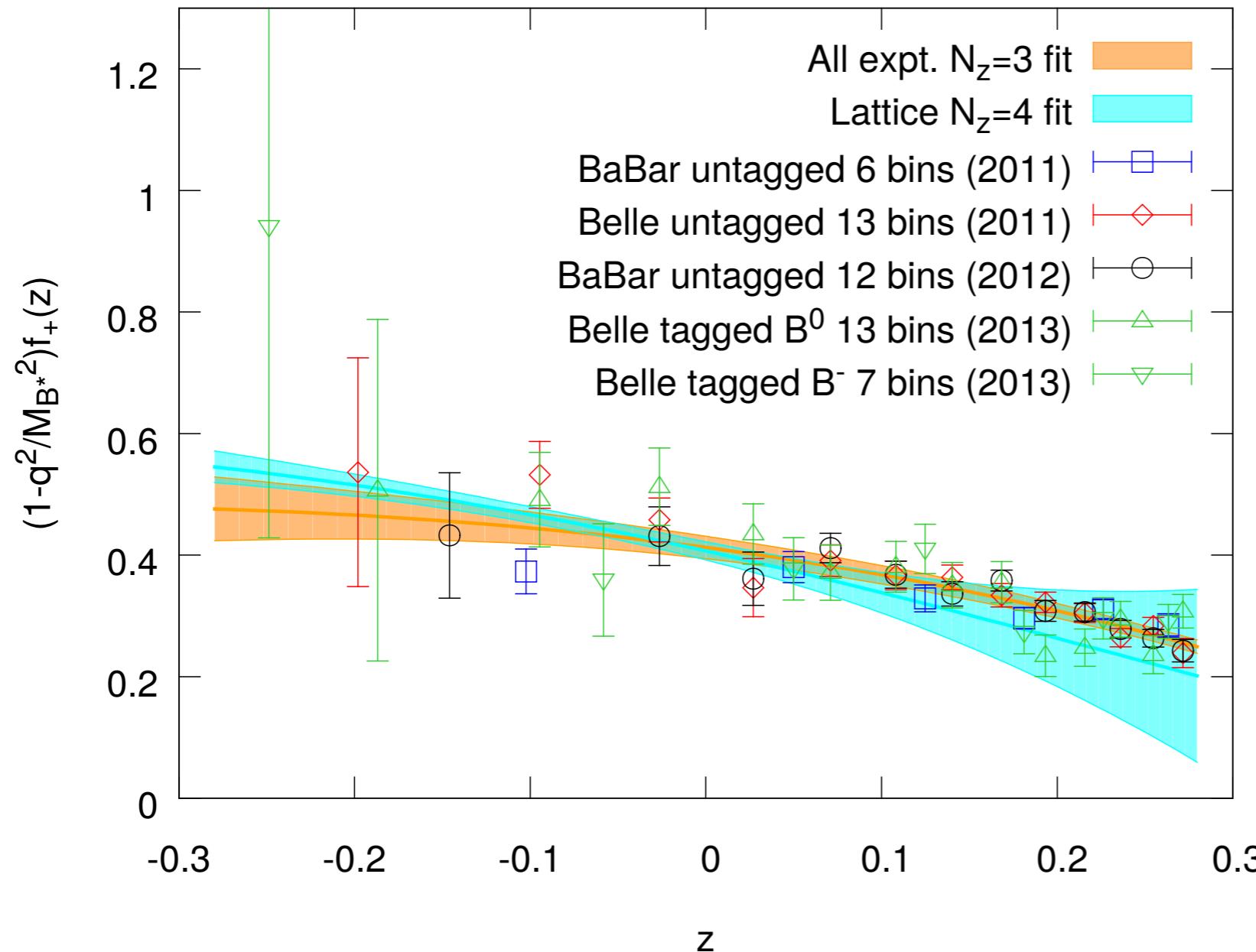
Combining Lattice QCD with Experiment

- $B \rightarrow \pi l \nu$, RBC/UKQCD, [arXiv:1501.05373](https://arxiv.org/abs/1501.05373).



Combining Lattice QCD with Experiment

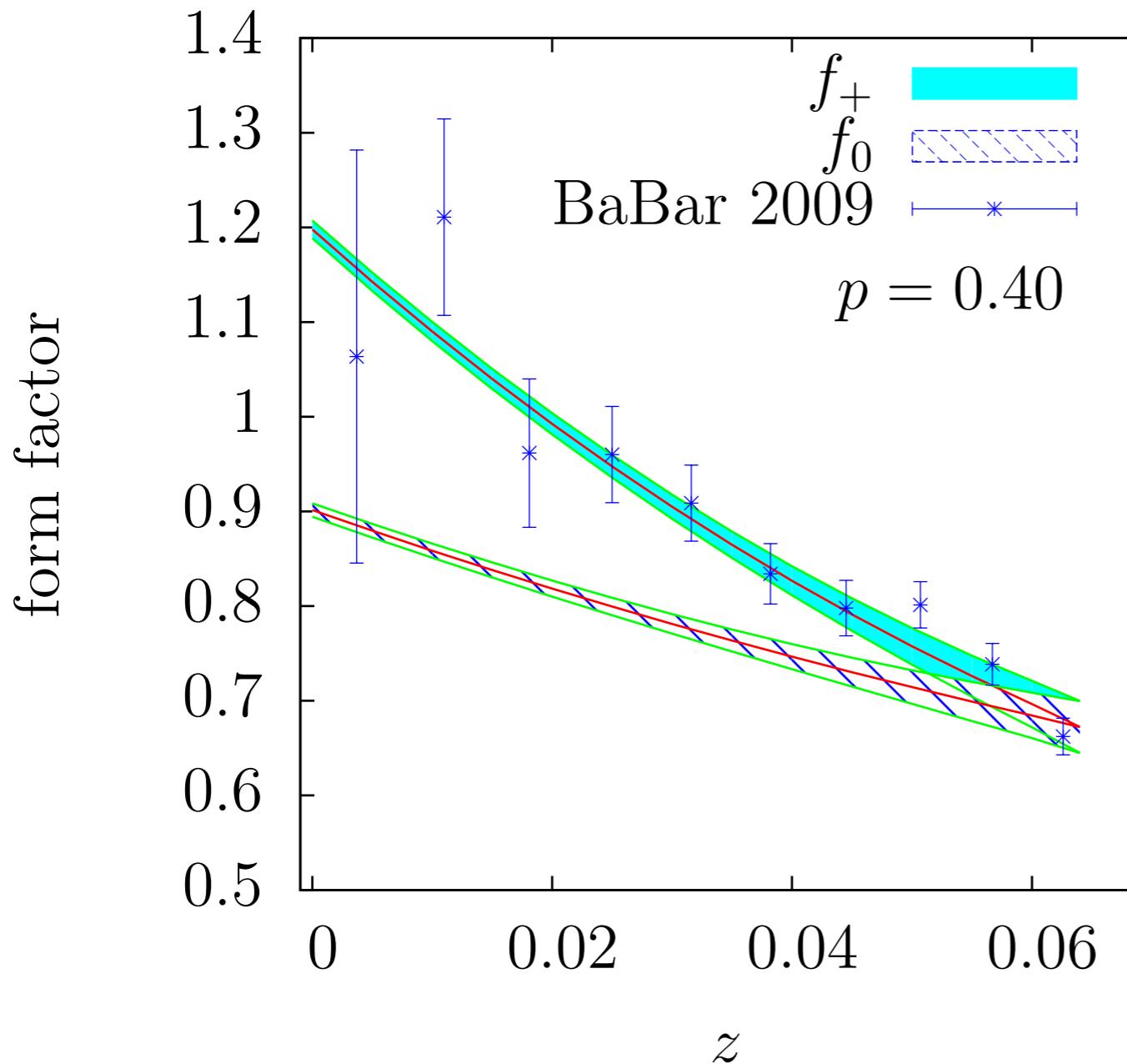
- $B \rightarrow \pi l \nu$, Fermilab/MILC, arXiv:1503.07839.



$$10^3 |V_{ub}| = 3.72(16)$$

Combining Lattice QCD with Experiment

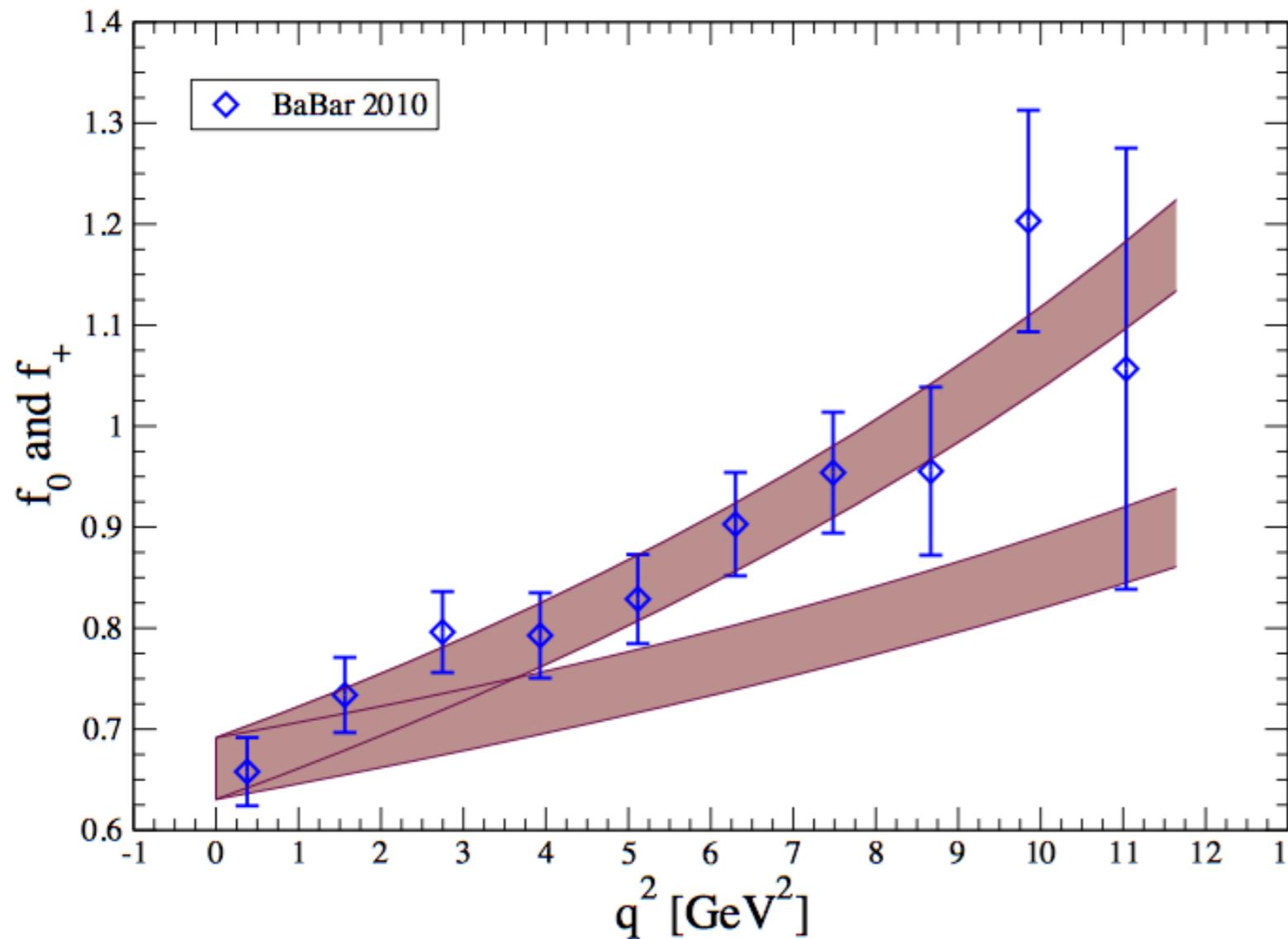
- $B \rightarrow Dl\nu$, Fermilab/MILC, [arXiv:1503.07237](#).



$$10^3 |V_{cb}| = 39.6(1.8)$$

Combining Lattice QCD with Experiment

- $B \rightarrow Dl\nu$, HPQCD, arXiv:1505.03925.



$$10^3 |V_{cb}| = 40.2(2.1)$$

Combining Lattice QCD with Experiment

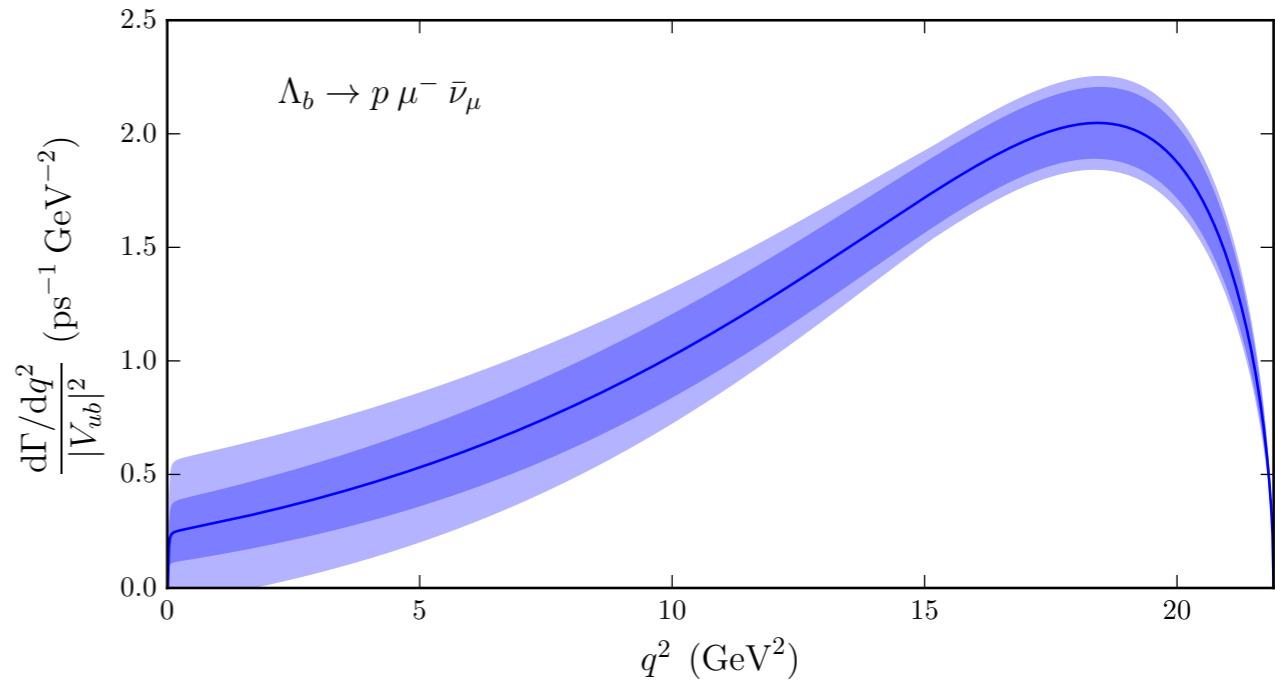
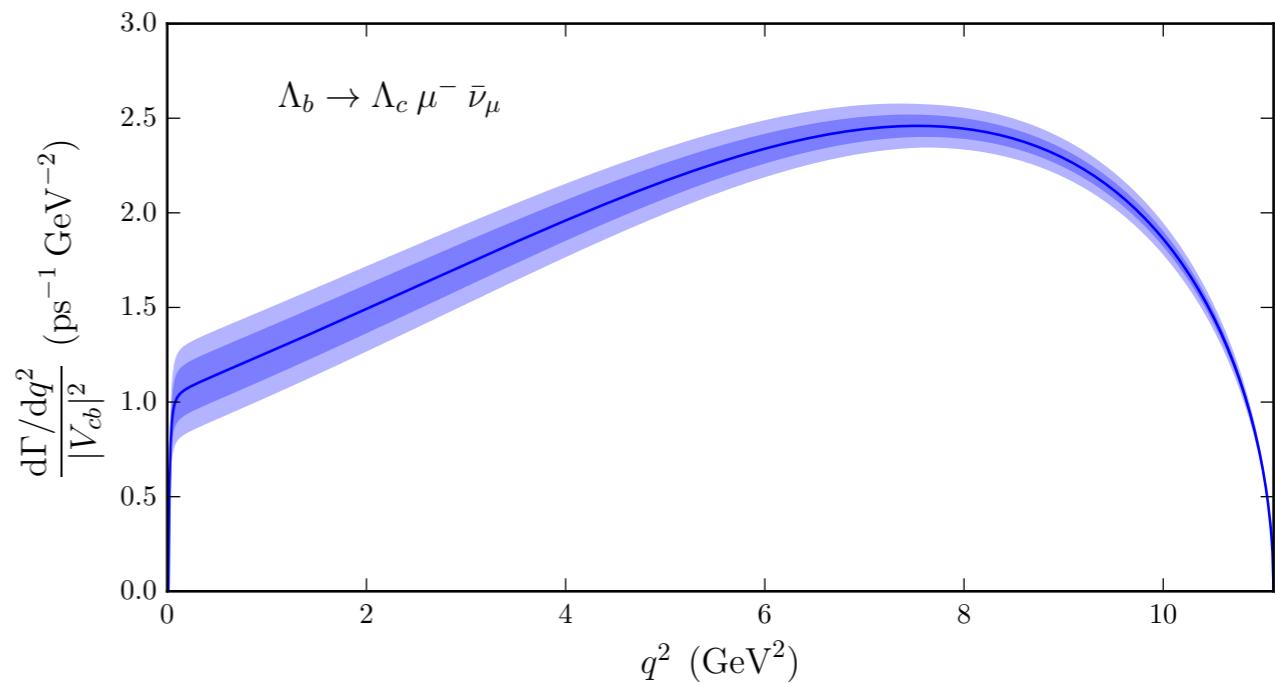
- $\Lambda_b \rightarrow pl\nu/\Lambda_b \rightarrow \Lambda_c l\nu$,
Detmold, Lehner, Meinel,
[arXiv:1503.01421](https://arxiv.org/abs/1503.01421).

- LHCb measures these rates (over some range):

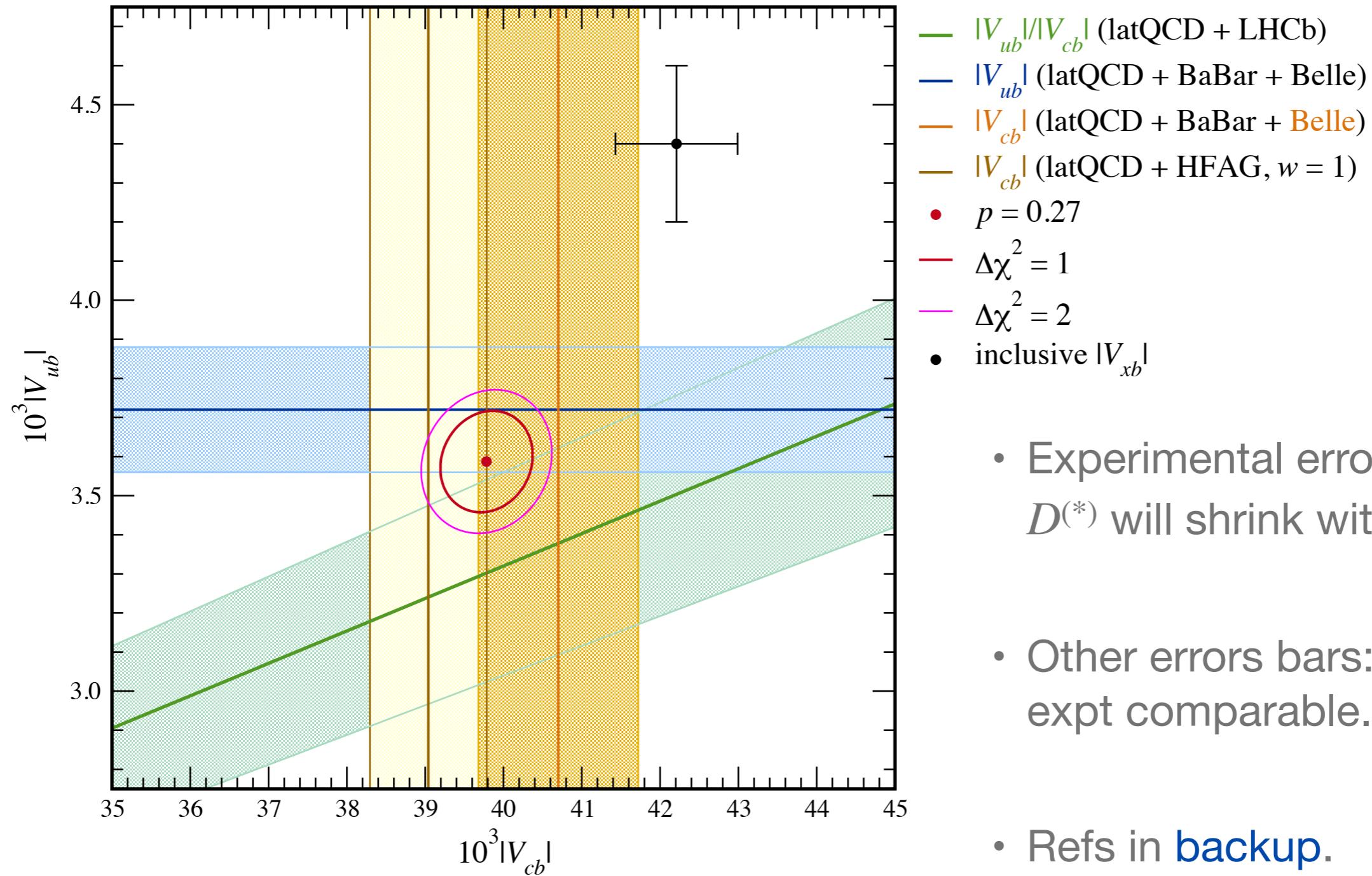
$$|V_{ub}|/|V_{cb}| = 0.083(4)(4)$$

[arXiv:1504.01568](https://arxiv.org/abs/1504.01568).

- Quiz: what's t_{cut} here?

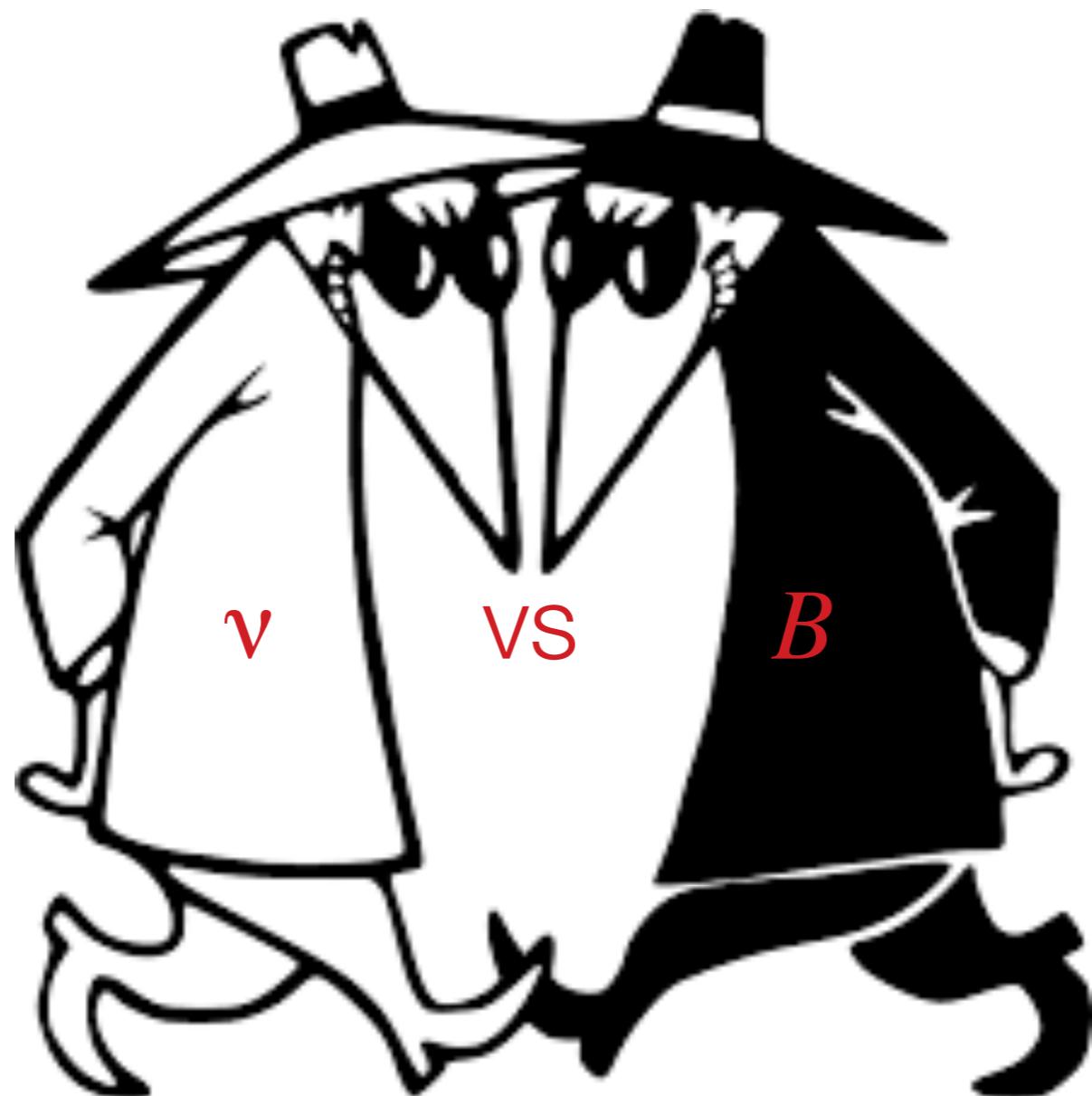


Synthesis of $|V_{ub}|$ & $|V_{cb}|$ Calculations



How Are Lattice QCD Results Reported?

- Curve and error band, described by
 - the z formulas (t_0 , t_{cut} , inner and outer functions);
 - coefficients a_k , their errors, and their correlation matrix;
 - cross correlations, e.g., between F_V and F_A .
- Lattice-QCD community working on ways to easily and robustly combine calculations with each other, and with experimental data.



Similarities

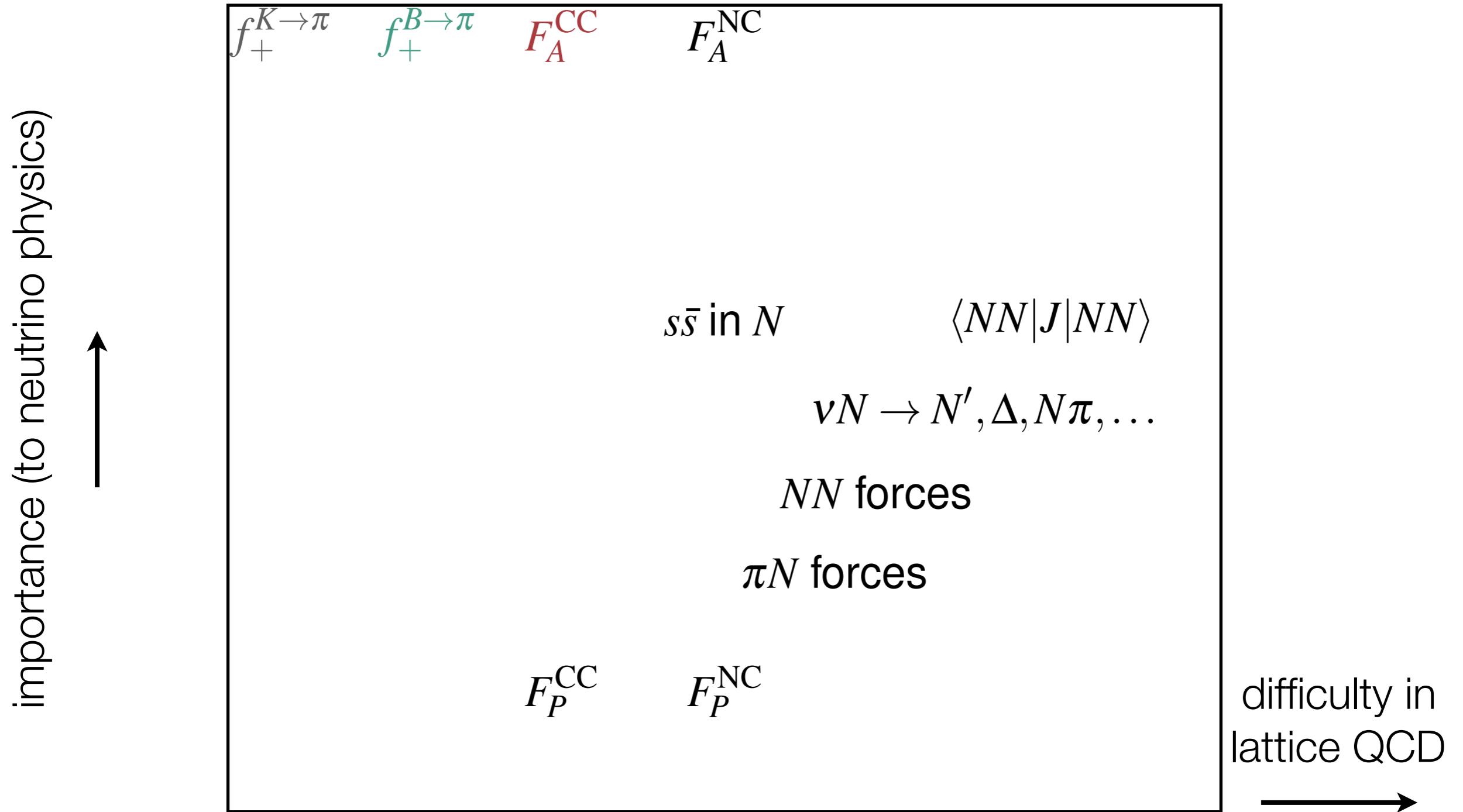
- Same theoretical underpinnings:
 - analyticity constraints on shape $\Rightarrow z$ expansion with (more than) adequate radius of convergence;
 - lattice gauge theory = first-principles tool for QCD.
- Similar interval in z (for optimal t_0) for D decay and ν scattering—
 - larger (smaller) range for B decay (K decay).

Differences

- Kinematic region—unimportant in practice (I think).
- Experiments measure
 - form factor shape (essentially) directly in B physics;
 - complicated nuclear process in ν physics.
- Meson form factors may have poles, a mild complication;
 - nucleons don't have this (thresholds at $3m_\pi$, $2m_\pi$).

Outlook

Further Calculations of Interest



The Advocated Paradigm

- Replace *Ansätze* for nucleon-level physics with *ab-initio* QCD (*i.e.*, continuum limit of lattice QCD).
- Use success of lattice QCD for meson form factors to bolster confidence in nucleon form factors.
 - (With further checks from F_V and g_A , of course.)